

APPENDIX D

Major Shareholders Disclosure Affidavit

TERRITORY OF GUAM)

)
HAGATNA, GUAM)

I, undersigned, _____ ,
(partner or officer of the company, etc.)
being first duly sworn, deposes and says:

1. That the persons who have held more ten percent (10%) of the company's shares during the past twelve (12) months are as follows:

Name	Address	Percentage Of Shares Held
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
	Total number of shares	_____

2. Persons who have received or are entitled to receive a commission, gratuity or other compensation for procuring or assisting In obtaining business related to the bid for which this Affidavit is submitted are as follows:

Name	Address	Amount of Commission, Gratuity or Other Compensation
_____	_____	_____
_____	_____	_____

Further, affiant sayeth naught.

Date: _____

Signature of individual if Proponent is a
sole proprietorship; Partner, if the
Proponent is a partnership Officer, if the
Proponent is a corporation.

Subscribed and sworn to before me this _____ day of _____ , 20 _____

Notary Public _____
In and for the Territory of Guam
My commission expires _____ .

SPECIAL PROVISIONS

All offerors are required to submit a current affidavit as required below, failure to do so will mean disqualification and rejection of the proposal.

Excerpt form Public Law #1844:

Section 44. A new Section 6961.3 is added to the Government of Guam to read:

“Section 6961.3 Disclosure of major shareholders. As a condition of offering any partnership, sole proprietorship or corporation doing business with the Government of Guam shall submit an affidavit executed under oath that lists the name and address of any person who has held more than ten percent (10%) of the outstanding interest or shares in said partnership, sole proprietorship or corporation at any time during the last twelve (12) month period immediately preceding submission of a proposal. The affidavit shall contain the number of shares or the percentage of all assets of such partnership, sole proprietorship or corporation which have been held by each such person during the twelve (12) month period. In addition, the affidavit shall contain the name and address of any person who has received or is entitled to receive a commission, gratuity or other compensation for procuring or assisting in obtaining business related to the proposal for the offeror and shall also contain the amounts of any such commission, gratuity or other compensation. The affidavit shall be open and available to the public for inspection and copying.”

NOTE:

Each affidavit is only good for the month within which it was prepared and notarized.

EXAMPLE:

- (1) An offeror intends to participate in proposals scheduled for April 05, 15 and 25, 2001. He has to prepare and submit a **NEW NOTARIZED AFFIDAVIT** on April 05 and may submit COPIES of affidavit on the proposals for April 15 and 25.
- (2) An offeror intends to participate in proposals scheduled for May 20 and June 05, 2001. He has to submit a **NEW NOTARIZED AFFIDAVIT** for each proposal.